

Express Mailing Label No. EV 100 810 023 US

PATENT APPLICATION

Docket: 13768.154

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael Kramer, et al.

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Serial No.: 09/768,673

SEP 10 2002

) Art Unit
) 2152

Confirmation No.: 3903

Technology Center 2100

Filed: January 24, 2001

For: ESTABLISHING A SECURE CONNECTION
WITH A PRIVATE CORPORATE NETWORK
OVER A PUBLIC NETWORK

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

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I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this ____ day of SEPTEMBER 5, 2002:

- Transmittal Letter (2 pgs.)
- Information Disclosure Statement (2 pgs.)
- PTO-1449 Form listing four (4) references (2 pgs.)
- Legible copies of four (4) references
- Postcard

Respectfully submitted,

John Johnson

(name of person mailing correspondence)

John Johnson

(signature of person mailing correspondence)

RDN:dmh

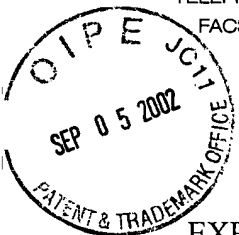
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09-06-02 768 073 2152 #15 BT 9-17-02

WORKMAN NYDEGGER & SEELEY

ATTORNEYS AT LAW
A PROFESSIONAL CORPORATION

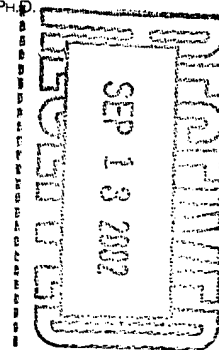
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EXPRESS MAIL LABEL NO.: EV 100 810 023 US

PATENT APPLICATION
Docket No.: 13768.154

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

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Technology Center 2100

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of four (4) references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.



____ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

____ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

____ Legible Copies of previously filed Information Disclosure Statement for application Serial No. _____, filed _____.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

____ Promptness Certification.

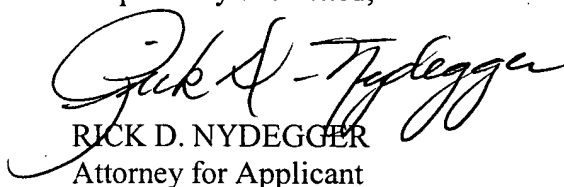
____ Check No. _____ in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);

____ Petition for Consideration and Check No. _____ in the amount of \$ _____.

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 5 day of SEPTEMBER, 2002.

Respectfully submitted,



RICK D. NYDEGGER
Attorney for Applicant
Registration No. 28,651



022913

PATENT TRADEMARK OFFICE

RDN:dmh

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Michael Kramer, et al.

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) 2152

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of

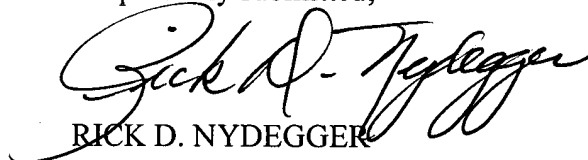
which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.97(c), please charge any applicable fees to Deposit Account No. 23-3178 to secure consideration of the references submitted with this Information Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 5 day of SEPTEMBER, 2002.

Respectfully submitted,



RICK D. NYDEGGER
Attorney for Applicant
Registration No. 28,651



022913

PATENT TRADEMARK OFFICE

RDN:dmh

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